

## AN ALGEBRAIC APPROACH TO THE APPROXIMATION OF INFORMATION

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**Abstract.** This paper is based on the notion of an information system  $\langle U, \Omega, V, f \rangle$  in the sense of Pawlak. Every set of knowledge  $P \subseteq \Omega$  determines a closure operator on  $U$ . The class of Boolean algebras with added operations determined by all sets of knowledge are axiomatized. As a consequence of the representation theorem information systems can be constructed that have a prescribed lattice of functional dependencies.

### 1. Introduction

This paper deals with the notion of an information system  $S = \langle U, \Omega, V, f \rangle$  in the sense of Pawlak [6]. These information systems have been studied under various names: databases, knowledge representation systems, decision tables, and learning systems ([4], [7], [8], [10]). In the approach taken by Pawlak, a subset  $P$  of  $\Omega$  is called a set of knowledge and determines an approximation space  $\langle U, \theta_P \rangle$  and a closure operator  $\bar{P}$  on  $U$ . In the methodology of rough concepts,  $\bar{P}X$  denotes the  $P$ -upper approximation of a concept  $X \subseteq U$ . The closure algebras  $\langle \mathfrak{Sb}U, \bar{P} \rangle$ , where  $\mathfrak{Sb}U$  is the Boolean algebra of all subsets of  $U$ , can be characterized as complete atomic cylindric algebras of dimension 1 (Proposition 14).

Often one is interested in relationships between various sets of knowledge. An algebraic framework for studying this situation is developed in this paper. Every information system  $S$  determines a Boolean algebra with unary operators  $\langle \mathfrak{Sb}U, \bar{P} \rangle_{P \subseteq \Omega}$  which is called a *knowledge approximation algebra of type  $\Omega$  derived from  $S$* . We propose a (non-elementary) set of axioms for the class of all such algebras of a fixed type and show that the axioms have the intended models (Theorem 11). Finally, in Section 4 it is shown that the first-order theory of knowledge approximation algebras of type  $\Omega$ , as well as the theory of its finite models, is undecidable whenever  $|\Omega| \geq 2$ .

Throughout the paper we assume that  $\Omega$  is a finite set. We use [2] as our basic reference for notation; in particular,  $SbX$  denotes the collection of all subsets of  $X$

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and  $\mathfrak{Sb}X$  denotes the Boolean algebra with universe  $SbX$ .

## 2. Basic Definitions and Elementary Properties

An *information system* is a 4-tuple  $S = \langle X, \Omega, V, f \rangle$  where  $X$  is a set,  $\Omega$  is a finite set,  $V$  is a function with  $\text{Dom } V = \Omega$  and  $f: X \rightarrow \prod_{a \in \Omega} V_a$ . For each  $P \subseteq \Omega$ , define a relation  $\theta_P$  for  $x, y \in X$  by

$$x \theta_P y \iff \forall a \in P (fx)_a = (fy)_a.$$

Clearly  $\theta_P$  is an equivalence relation on  $X$ . The pair  $(X, \theta_P)$  is called an *approximation space* for knowledge  $P$  and the  $\theta_P$ -classes, i.e., the subsets  $\theta_P x = \{ y : x \theta_P y \}$  are called *P-elementary categories* or concepts *indiscernible* according to knowledge  $P$ . A set  $A \subseteq X$  is *definable in knowledge P* if  $A$  is a union of  $\theta_P$ -classes, i.e.,  $A = \bigcup \{ \theta_P x : x \in A \}$ .

Associated with an approximation space  $(X, \theta_P)$  there is a closure operator  $\bar{P}$  and an interior operator  $\underline{P}$  on  $X$ . Define  $\bar{P}: SbX \rightarrow SbX$  and  $\underline{P}: SbX \rightarrow SbX$  by

$$\begin{aligned} \bar{P}(A) &= \bigcup \{ \theta_P x : x \in A \} \quad \text{for } A \subseteq X \text{ and} \\ \underline{P}(A) &= \bigcup \{ \theta_P x : \theta_P x \subseteq A \} \quad \text{for } A \subseteq X. \end{aligned}$$

Pawlak ([7],[8]) calls  $\bar{P}(A)$  the *P-upper approximation* of  $A$  and  $\underline{P}(A)$  the *P-lower approximation* of  $A$ . Note that the subsets of  $X$  that are definable in  $P$  are the fixed points of  $\bar{P}$  (or the  $\bar{P}$ -closed subsets).

The structure  $\mathfrak{B}_S = \langle SbX, \cup, \cap, \sim, \emptyset, X, \bar{P} \rangle_{P \subseteq \Omega}$  (or  $\langle \mathfrak{Sb}X, \bar{P} \rangle_{P \subseteq \Omega}$  for short) is called the *knowledge approximation algebra of type  $\Omega$  derived from the information system S*. The reduct  $\mathfrak{A}_P \mathfrak{B}_S = \langle SbX, \cup, \cap, \sim, \emptyset, X, \bar{P} \rangle$  is called the (upper) *approximation closure algebra of P*.

The next definition presents axioms for an abstract knowledge approximation algebra of type  $\Omega$ . The idea is to abstract the properties of the closure operator  $\bar{P}$  as an operator  $\kappa_P$ .

DEFINITION. A structure  $\mathfrak{B} = \langle \mathfrak{A}, \kappa_P \rangle_{P \subseteq \Omega}$  is a *knowledge approximation algebra of type  $\Omega$*  (recall that  $\Omega$  is finite) if  $\kappa_P \in B^B$  for each  $P \subseteq \Omega$  and the following axioms hold for all  $x, y \in B$  and  $P, Q \subseteq \Omega$ :

- (A<sub>0</sub>)  $\mathfrak{B} = \langle B, +, \cdot, -, 0, 1 \rangle$  is a complete atomic Boolean algebra,
- (A<sub>1</sub>)  $\kappa_P 0 = 0$ ,

- (A<sub>2</sub>)  $\kappa_P x \geq x$ ,  
 (A<sub>3</sub>)  $\kappa_P(x \cdot \kappa_P y) = \kappa_P x \cdot \kappa_P y$ ,  
 (A<sub>4</sub>)  $x \neq 0$  implies  $\kappa_\emptyset x = 1$ ,  
 (A<sub>5</sub>)  $\kappa_{P \cup Q} x = (\kappa_P x) \cdot (\kappa_Q x)$  if  $x$  is an atom of  $\mathcal{A}$

$\mathfrak{B}$  is called *reduced* if  $\kappa_Q x = x$  for all  $x \in B$ . We denote the class of all knowledge approximation algebras of type  $\Omega$  by  $\mathbf{KA}_\Omega$  and refer to a member of this class as a  $\mathbf{KA}_\Omega$ .

Observe that if  $\mathfrak{B} = \langle \mathcal{A}, \kappa_P \rangle_{P \subseteq \Omega}$  is a  $\mathbf{KA}_\Omega$ , then axioms (A<sub>0</sub>)–(A<sub>3</sub>) show that  $\langle \mathcal{A}, \kappa_P \rangle$  is a  $\mathbf{CA}_1$  for each  $P \subseteq \Omega$ , i.e., each  $\kappa_P$  is a cylindrification in the sense of [2]. The *dual operation*  $\kappa_P^\partial$  associated with a cylindrification  $\kappa_P$  (cf., 1.4.1 of [2]) is defined by  $\kappa_P^\partial x = -\kappa_P(-x)$  for all  $x \in B$ .

**PROPOSITION 1.** If  $S = \langle X, \Omega, V, f \rangle$  is an information system, the knowledge approximation algebra  $\mathfrak{B}_S$  of type  $\Omega$  derived from  $S$  is a  $\mathbf{KA}_\Omega$ . In particular, every reduct  $\mathfrak{B}_P \mathfrak{B}_S = \langle S b X, U, \cap, \sim, \emptyset, X, \bar{P} \rangle$  is a  $\mathbf{CA}_1$ .

**PROOF.** Clearly (A<sub>0</sub>), (A<sub>1</sub>), and (A<sub>2</sub>) hold.

$$(A_3) \quad \bar{P}(A \cap \bar{P}B) = \bar{P}A \cap \bar{P}B \text{ for } A, B \subseteq X.$$

Suppose  $x \in \bar{P}A \cap \bar{P}B$ . Then  $\theta_P \cap A \neq \emptyset$  and  $\theta_P \cap B \neq \emptyset$ . Since  $\bar{P}B$  is a union of  $\theta_P$ -classes and  $x \in \bar{P}B$ ,  $\theta_P x \subseteq \bar{P}B$ . Thus,  $A \cap \bar{P}B \cap \theta_P x = A \cap \theta_P x \neq \emptyset$ . Hence  $x \in \theta_P x \subseteq \bar{P}(A \cap \bar{P}B)$ ; so the inclusion  $\supseteq$  holds. Now, suppose  $x \in \bar{P}(A \cap \bar{P}B)$ . Then  $A \cap \bar{P}B \cap \theta_P x \neq \emptyset$ ; so  $A \cap \theta_P x \neq \emptyset$  (therefore  $x \in \bar{P}A$ ) and  $\bar{P}B \cap \theta_P x \neq \emptyset$  (which implies  $x \in \theta_P x \subseteq \bar{P}B$  because  $\bar{P}B$  is a union of  $\theta_P$ -classes). Hence the inclusion  $\subseteq$  holds.

$$(A_4) \quad \emptyset \neq A \subseteq X \implies \bar{\emptyset}A = X$$

If  $x \in A$  and  $y \in X$ , then  $x \theta_\emptyset y$  holds vacuously. So  $\bar{\emptyset}\{x\} = X$  and (A<sub>4</sub>) follows.

$$(A_5) \quad \overline{P \cup Q}\{x\} = \bar{P}\{x\} \cap \bar{Q}\{x\} \text{ for all } x \in X.$$

If either  $P$  or  $Q$  is empty, the result follows from (A<sub>4</sub>). Assume  $P, Q \neq \emptyset$ . Suppose  $y \in \bar{P}\{x\} \cap \bar{Q}\{x\}$ . Then  $x \theta_P y$  and  $x \theta_Q y$ . So, if  $a \in \theta_{P \cup Q}$ , then  $(fx)_a = (fy)_a$  because either  $a \in P$  or  $a \in Q$ . Therefore,  $x \theta_{P \cup Q} y$ , i.e.,  $y \in \overline{P \cup Q}\{x\}$ . Thus, the inclusion  $\supseteq$  holds. Now suppose that  $y \in \overline{P \cup Q}\{x\}$  so  $x \theta_{P \cup Q} y$ . Since  $(fx)_a = (fy)_a$  for all  $a \in P \cup Q$ ,  $fx$  and  $fy$  agree on all values in  $P$  and on all values in  $Q$ . Thus  $x \theta_P y$  and  $x \theta_Q y$ . Hence the inclusion  $\subseteq$  holds.

Note that the algebra  $\mathfrak{B}_S$  derived from an information system  $S = \langle X, \Omega, V, f \rangle$  is reduced if and only if  $f$  is one-one.

COROLLARY 2. The dual of  $\bar{P}$  in  $\mathfrak{B}_S$  is  $\underline{P}$ , i.e.,  $\kappa_P^\partial = \underline{P}$  when  $\kappa_P = \bar{P}$ .

PROOF. Since  $\kappa_P^\partial x = -\kappa_P(-x)$  it suffices to show  $-\bar{P}(-A) = \underline{P}A$  for  $A \subseteq X$ . This follows since both  $-\bar{P}(-A)$  and  $\underline{P}A$  are unions of  $\theta_P$ -classes and  $\theta_P x \subseteq A$  iff  $\theta_P x \cap -A = \emptyset$  iff  $\theta_P x \subseteq -\bar{P}(-A)$ .

The result below summarizes properties from Sections 1.2 and 1.4 of [2] which hold because  $\langle B, \kappa_P \rangle$  is a  $CA_1$  for each  $P \subseteq \Omega$ . Thus, the properties follow from axioms  $(A_0)$ – $(A_3)$  only. It is well known that these properties hold for closure operators  $\bar{P}$  and  $\underline{P}$  associated with approximation spaces ([7], [8]).

PROPOSITION 3. (i)  $\kappa_P^\partial x \leq x \leq \kappa_P x$ .

(ii)  $\kappa_P^\partial 0 = \kappa_P 0 = 0$  and  $\kappa_P^\partial 1 = \kappa_P 1 = 1$ .

(iii)  $x \leq y \Rightarrow \kappa_P x \leq \kappa_P y$  and  $\kappa_P^\partial x \leq \kappa_P^\partial y$ .

(iv)  $\kappa_P(x+y) = \kappa_P x + \kappa_P y$  and  $\kappa_P^\partial(x \cdot y) = (\kappa_P^\partial x) \cdot (\kappa_P^\partial y)$ .

(v) If  $\Sigma_1 z_i$  exist, then  $\Sigma_1 \kappa_P z_i$  exist and  $\kappa_P(\Sigma_1 z_i) = \Sigma_1 \kappa_P z_i$ .

(vi)  $\kappa_P \kappa_P x = \kappa_P^\partial \kappa_P x = \kappa_P x$ .

(vii)  $\kappa_P^\partial \kappa_P^\partial x = \kappa_P^\partial \kappa_P^\partial x = \kappa_P^\partial x$ .

(viii) If  $\Pi_1 \kappa_P z_i$  exist, then  $\kappa_P(\Pi_1 \kappa_P z_i) = \Pi_1 \kappa_P z_i$ ; in particular,  $\kappa_P(\kappa_P x \cdot \kappa_P y) = \kappa_P x \cdot \kappa_P y$ .

The following lemma describes natural relationships between approximation operators which will be used in Section 3.

LEMMA 4. (i)  $\kappa_P x \leq \kappa_Q x$  for all  $x \in B$  whenever  $Q \subseteq P \subseteq \Omega$ .

(ii)  $x \leq \kappa_P y \Rightarrow \kappa_P x \leq \kappa_P y$ .

PROOF. (i) By additivity 3(v), it suffices to consider  $x \in \text{At}\mathfrak{B}$  and  $Q \subseteq P$ . Then, by  $(A_5)$ ,

$$\kappa_P x = \kappa_{P \cup Q} x = (\kappa_P x) \cdot (\kappa_Q x) \leq \kappa_Q x.$$

(ii) follows from 3(iii) and 3(vi).

### 3. Representation

The goal of this section is to show that every  $\text{KA}_\Omega$  can be obtained from an information system, i.e., axioms (A<sub>0</sub>)–(A<sub>5</sub>) have the intended models. We then examine the relationship between approximation closure algebras and CA<sub>1</sub>'s.

The first step is to understand the structure of the class of approximation operators of a  $\text{KA}_\Omega$ , that is, we want to characterize the class  $\{\kappa_P : P \subseteq \Omega\}$  of closure operators of a  $\text{KA}_\Omega \mathfrak{B}$ . By additivity 3(v) and the fact  $\mathfrak{B}$  is complete and atomic, each  $\kappa_P$  is determined by its values on  $\text{At}\mathfrak{B}$ . Thus  $\kappa_P$  may be viewed as a member of  $B^{\text{At}\mathfrak{B}}$ . More precisely, let  $\bar{\kappa}_P = \kappa_P|_{\text{At}\mathfrak{B}}$ , the restriction of  $\kappa_P$  to the atoms. By (A<sub>5</sub>),  $\{\bar{\kappa}_P : P \subseteq \Omega\}$  is a meet-subsemilattice of  $\langle B^{\text{At}\mathfrak{B}}, \wedge \rangle$  where  $\wedge$  is defined pointwise in  $B^{\text{At}\mathfrak{B}}$ , i.e.,  $(f \wedge g)(x) = f x \cdot g x$  for  $x \in \text{At}\mathfrak{B}$ . In fact, (A<sub>5</sub>) shows that the map that sends  $P \mapsto \bar{\kappa}_P$  is a semilattice morphism  $\langle \text{Sb}\Omega, \cup \rangle \rightarrow \langle B^{\text{At}\mathfrak{B}}, \wedge \rangle$ . Instead of using the meet-subsemilattice of  $\langle B^{\text{At}\mathfrak{B}}, \wedge \rangle$  given above, we use an isomorphic subsemilattice of the partition lattice  $\Pi(\text{At}\mathfrak{B})$  whose elements consist of the partitions that are kernels of the  $\bar{\kappa}_P$ 's.

DEFINITION 5. For a  $\text{KA}_\Omega \mathfrak{B} = \langle B, \kappa_P \rangle_{P \subseteq \Omega}$  the *partition semilattice* of  $\mathfrak{B}$ , denoted by  $L_{\mathfrak{B}}$ , is the structure  $\langle \{T_P : P \subseteq \Omega\}, \cap, U^2 \rangle$  where  $U = \text{At}\mathfrak{B}$  and, for  $P \subseteq \Omega$ ,  $a, b \in U$

$$a T_P b \iff \kappa_P a = \kappa_P b$$

(or equivalently,  $a T_P b \iff a \leq \kappa_P b$ ).

LEMMA 6. (i)  $T_P$  is an equivalence relation on  $U$  for all  $P \subseteq \Omega$ .

(ii)  $T_\emptyset = U^2$ .

(iii)  $T_P \cap T_Q = T_{P \cup Q}$  for all  $P, Q \subseteq \Omega$ .

(iv)  $L_{\mathfrak{B}}$  is a meet-subsemilattice of  $\Pi(U)$ .

(v) The map  $P \mapsto T_P$  is a morphism of  $\langle \text{Sb}\Omega, \cup, \emptyset \rangle$  onto  $L_{\mathfrak{B}}$ .

PROOF. (i) obvious and (ii) follows from (A<sub>4</sub>). Parts (iv) and (v) are immediate from (i) – (iii).

(iii). Suppose  $(a, b) \in T_P \cap T_Q$ . Then  $\kappa_P a = \kappa_P b$  and  $\kappa_Q a = \kappa_Q b$ . By (A<sub>3</sub>),

$$\kappa_{P \cup Q} a = \kappa_P a \cdot \kappa_Q a = \kappa_P b \cdot \kappa_Q b = \kappa_{P \cup Q} b$$

since  $a, b \in \text{At}\mathfrak{B}$ . Therefore, the inclusion  $\subseteq$  holds. Now, suppose  $\kappa_{P \cup Q} a = \kappa_{P \cup Q} b$ . Then  $b \leq \kappa_{P \cup Q} b = \kappa_{P \cup Q} a \leq \kappa_P a$  so  $\kappa_P b \leq \kappa_P a$  by 4(ii). Similarly  $\kappa_P a \leq \kappa_P b$  so  $\kappa_P a = \kappa_P b$  and  $(a, b) \in T_P$ . Likewise  $(a, b) \in T_Q$ ; so the inclusion  $\supseteq$  holds.

$$\begin{aligned} &\Leftrightarrow T_{\{a\}}x = T_{\{a\}}y \\ &\Leftrightarrow (fx)_a = v_{a,b} = (fy)_a \text{ where } b = T_{\{a\}}x \text{ (by Def. 9)} \\ &\Leftrightarrow y\theta_{\{a\}}x \\ &\Leftrightarrow y \in \theta_{\{a\}}x = \kappa_{\{a\}}^{\mathfrak{B}_S}(x) = \kappa_{\{a\}}^{\mathfrak{B}_S}(gx). \end{aligned}$$

Hence, (2) holds. Now, for  $x \in \text{At}\mathfrak{B}$  and  $P \neq \emptyset$ , (1) follows from (2) and (A<sub>5</sub>):

$$g(\kappa_P x) = g\left(\prod_{a \in P} \kappa_{\{a\}} x\right) = \prod_{a \in P} g(\kappa_{\{a\}} x) = \prod_{a \in P} \kappa_{\{a\}}(gx) = \kappa_P(gx).$$

Observe that, by (A<sub>4</sub>), (1) obviously holds if  $P = \emptyset$ . Hence,  $g$  is an isomorphism of  $\mathfrak{B}$  onto  $\mathfrak{B}_{S(L)}$  as required.

The next few observations deal with consequences of Theorem 11.

REMARK 12. Theorem 11 shows that axioms (A<sub>0</sub>)–(A<sub>5</sub>) completely characterize the class of knowledge approximation algebras derived from information systems. Axioms (A<sub>1</sub>)–(A<sub>3</sub>) are equations while (A<sub>4</sub>) and (A<sub>5</sub>) are not. The lemma below shows the class of all  $\text{KA}_\Omega$ 's is not equational.

LEMMA 13. Every member of  $\text{S}(\text{KA}_\Omega)$  is a simple (universal) algebra.

PROOF. It suffices to show, from axioms (A<sub>0</sub>)–(A<sub>4</sub>), that any congruence relation on a  $\text{KA}_\Omega \mathfrak{B}$  that is not the identity relation is the universal relation. If  $\theta$  is a congruence on  $\mathfrak{B}$  and  $\theta \neq \text{Id}$ , then  $\theta$  is a Boolean congruence; so there exist an atom  $x \in B$ ,  $x\theta 0$ . Then  $1 = (\kappa_\emptyset x)\theta(\kappa_\emptyset 0) = 0$  by (A<sub>4</sub>). Hence,  $\theta$  is the universal congruence.

As noted in Proposition 1 every approximation closure algebra  $\langle \mathfrak{Sb}U, \bar{P} \rangle$  associated with an information system is a complete atomic  $\text{CA}_1$ . Below we see that every complete atomic  $\text{CA}_1$  has such a representation.

PROPOSITION 14. Let  $P_0 \subseteq \Omega$  with  $P_0 \neq \emptyset$ . Then

- (i) Every complete atomic  $\text{CA}_1$  is isomorphic to an approximation closure algebra  $\mathfrak{Ad}_{P_0} \mathfrak{B}_S = \langle \mathfrak{Sb}U, \bar{P}_0 \rangle$  for some information system  $S$ .
- (ii) Every  $\text{CA}_1$  is embeddable in an approximation closure algebra  $\mathfrak{Ad}_{P_0} \mathfrak{B}_S$  for some  $S$ .

PROOF. (i) Given a complete atomic  $\text{CA}_1 \mathfrak{A} = \langle A, c_0 \rangle$  we define a system  $\mathfrak{B} = \langle B, \kappa_P \rangle_{P \subseteq \Omega}$  by letting the Boolean algebra  $B = A$ ,  $\kappa_P = c_0$  for all  $P \neq \emptyset$ , and, for all  $x \in A$ ,  $\kappa_\emptyset x = 1$  if  $x \neq 0$  and equal 0 otherwise. It is clear that  $\mathfrak{B}$  is a  $\text{KA}_\Omega$  so, by

Theorem 11,  $\mathfrak{B} \cong \mathfrak{B}_S$  for some information system  $S$ . Thus,  $\mathfrak{A} = \mathfrak{A}d_{P_0} \mathfrak{B} \cong \mathfrak{A}d_{P_0} \mathfrak{B}_S$  as desired.

(ii) follows from (i) by the fact that every  $CA_1$  is embeddable in a complete atomic one (cf., 2.7.20 of [2]).

The final result of this section uses Proposition 8 and Theorem 11 to create an information system with a prescribed relation of functional dependencies.

**THEOREM 15.** Every finite lattice is isomorphic to the partition semilattice of some  $KA_\Omega$ . Moreover, this algebra may be chosen as the algebra derived from the information system  $S(L')$  where  $L'$  is a representation of the lattice as a meet semilattice of partitions.

**PROOF.** Given a finite lattice  $L$  first observe that  $L$  is isomorphic to a meet-semilattice  $L'$  of partitions. This is immediate from the Pudlák and Tůma solution [9] of Whitman's problem; however, we provide a simple direct construction. For  $x \in L$  let  $h(x)$  be the equivalence relation on  $L$  defined for  $a, b \in L$  by

$$ah(x)b \iff a = b \text{ or } a, b \leq x.$$

Then  $h : L \rightarrow \Pi(L)$  is a meet-semilattice isomorphism of  $L$  onto  $L' = \{ h(x) : x \in L \} \subseteq \Pi(L)$ . Now, let  $\Omega = L$  and, for  $P \subseteq \Omega$ , set  $T_P = \bigcap_{x \in P} h(x)$ . Note that  $T_P = h(\wedge P)$  since  $h$  is a meet-isomorphism of  $L$  onto  $L'$ . Then  $L' = \{ T_P : P \subseteq \Omega \}$  and  $\langle L', \cap, L^2 \rangle$  is a partition semilattice. Since the map that sends  $P \subseteq \Omega$  to  $h(\wedge P)$  is a semilattice morphism from  $\langle Sb\Omega, \cup, \emptyset \rangle$  onto  $L'$  it follows from Proposition 8 that  $L'$  is isomorphic to the partition semilattice of some  $KA_\Omega \mathfrak{B}$ . By applying Theorem 11 to  $\mathfrak{B}$  with  $L'$  as its partition semilattice we see that the information system  $S(L')$  has the property that  $\mathfrak{B} \cong \mathfrak{B}_{S(L')}$ .

**4. Decision Problems**

The goal of this section is to settle the decision problems for the first-order theory of  $KA_\Omega$  for every finite  $\Omega$ . The answers are closely related to the ones obtained for cylindrification algebras in [1] but the details differ. We will use certain basic facts about finitely inseparable theories which can be found in either [1] or Monk [5] (results 15.7, 15.16, and 16.56).

Let **Eq** denote the theory of two equivalence relations, i.e., the models of **Eq** are relational structures  $\langle X, R, S \rangle$  where  $R$  and  $S$  are equivalence relations on  $X$ . The theory **Eq** is finitely inseparable by 16.56 of Monk [5]. To show that a theory **T**

REMARK 7. (i)  $L_{\mathfrak{B}}$  is actually a lattice, but not necessarily a sublattice of  $\Pi(U)$ . The join  $\oplus$  is defined by

$$T_P \oplus T_Q = \cap \{ T_R : R \subseteq \Omega \text{ and } T_R \supseteq T_P \text{ and } T_R \supseteq T_Q \}.$$

In Lee [4] the lattice  $L_{\mathfrak{B}}$  is called the *relation lattice* of  $S$  when  $\mathfrak{B}$  is the knowledge approximation algebra induced by an information system (database)  $S$ . The ordering relation of this lattice expresses the functional dependencies that are valid in  $S$ .

(ii) We say that a collection of equivalence relations  $L = \langle \{T_P : P \subseteq \Omega\}, \cap, U^2 \rangle$  is a *partition semilattice* if properties 6(i), 6(ii), and 6(iii) hold. Of course, Lemma 6 shows that  $L_{\mathfrak{B}}$  is a partition semilattice.

(iii) The collection of relations that form a partition semilattice  $L$  is closely related to the notion of a cylindric atom structure (cf., 2.7.40 of [2]). We say that a relational system  $\langle U, T_P \rangle_{P \subseteq \Omega}$  is a *knowledge approximation atom structure* if for all  $P, Q \subseteq \Omega$

- (1)  $T_P$  is an equivalence relation on  $U$ ,
- (2)  $T_{\emptyset} = U^2$ , and
- (3)  $T_P \cap T_Q = T_{P \cup Q}$

We will use partition semilattices and atom structures interchangeably. The next result characterizes the partition semilattices obtained from  $\text{KA}_{\Omega}$ s.

PROPOSITION 8. A meet-subsemilattice of  $\Pi(U)$ ,  $\langle L, \cap, U^2 \rangle$ , is a partition semilattice of some  $\mathfrak{B}$  in  $\text{KA}_{\Omega}$  if and only if there is a semilattice morphism of  $\langle \text{Sb}\Omega, \cup, \emptyset \rangle$  onto  $L$ .

PROOF. The  $\Rightarrow$  direction follows from 6(v). For  $\Leftarrow$ , suppose  $L = \{ F_P : P \subseteq \Omega \}$ .

For each equivalence relation  $F_P$  define  $F_P^* : \text{Sb}U \rightarrow \text{Sb}U$  by

$$F_P^* X = \bigcup_{x \in X} \{ y \in U : x F_P y \}.$$

Then  $\mathfrak{B}' = \langle \text{Sb}U, F_P^* \rangle_{P \subseteq \Omega}$  is a  $\text{KA}_{\Omega}$ . Clearly,  $\text{At}\mathfrak{B}' = \{ \{x\} : x \in U \}$ . Using the natural correspondence between the atoms of  $\mathfrak{B}'$  and the elements of  $U$  we obtain a knowledge approximation algebra  $\mathfrak{B}$  isomorphic to  $\mathfrak{B}'$  such that  $\text{At}\mathfrak{B} = U$  and  $L_{\mathfrak{B}}$  is  $L$ .

Another way to state Proposition 8 is to say that a meet-subsemilattice of  $\Pi(U)$  is a partition semilattice of a  $\text{KA}_{\Omega}$  if and only if its elements are the relations of a knowledge approximation atom structure.



We now construct an information system from a partition semilattice.

DEFINITION 9. Suppose  $L = \langle \{T_P : P \subseteq \Omega\}, \Omega, U^2 \rangle$  is a partition subsemilattice of  $\Pi(U)$  and  $\Omega$  is finite. The structure

$$S(L) = \langle U, \Omega, V, f \rangle,$$

called the *information system of L*, is defined in the following way. Choose a function  $V$  on  $\Omega$  such that  $|V_a|$  equals the cardinality of the set of  $T_{\{a\}}$ -blocks for all  $a \in \Omega$ . We denote the elements of  $V_a$  by  $v_{a,b}$  where  $b \in U/T_{\{a\}}$  (i.e.,  $b = T_{\{a\}}x$  for some  $x \in U$ ). Now, define  $f: U \rightarrow \prod_{a \in \Omega} V_a$  by

$$f(x)_a = v_{a,b}$$

for all  $x \in U$ ,  $a \in \Omega$ , and  $b = T_{\{a\}}x$ . Of course,  $V_a$  may be infinite if  $U$  is infinite.

It is obvious that

LEMMA 10.  $S(L)$  is an information system.

THEOREM 11. If  $\mathfrak{B} = \langle B, \kappa_P \rangle_{P \subseteq \Omega}$  is a  $\text{KA}_\Omega^2$  where  $\Omega$  is finite,  $L = L_{\mathfrak{B}}$  is the partition semilattice of  $\mathfrak{B}$ , and  $S = S(L)$  is the information system of  $L$ , then  $\mathfrak{B} \cong \mathfrak{B}_{S(L)}$ , the knowledge approximation algebra of  $S(L)$ .

PROOF. Suppose  $L = \langle \{T_P : P \subseteq \Omega\}, \Omega, U^2 \rangle$  where  $U = \text{At}\mathfrak{B}$  and  $S(L) = \langle U, \Omega, V, f \rangle$  is given in Definition 9. Consider the map  $g: B \rightarrow \text{Sb}U$  defined, for  $b \in B$ , by

$$g(b) = \{x \in U : x \leq b\}.$$

Since  $\mathfrak{B}$  is a complete atomic BA,  $g$  is a Boolean isomorphism of  $\mathfrak{B}$  onto  $\mathfrak{B}_{S(L)}$ . It remains to show that

$$(1) \quad g(\kappa_P^{\mathfrak{B}}x) = \kappa_P^{\mathfrak{B}_{S(L)}}(gx) \text{ for all } x \in B \text{ and } P \subseteq \Omega.$$

Since each  $\kappa_P$  and  $g$  are completely additive and  $\mathfrak{B}$  is atomic, it suffices to verify (1) for  $x \in \text{At}\mathfrak{B}$ . First, we consider the case where  $P = \{a\}$  is an atom of  $\mathfrak{B}$ . We claim that

$$(2) \quad g(\kappa_{\{a\}}^{\mathfrak{B}}x) = \kappa_{\{a\}}^{\mathfrak{B}_{S(L)}}(gx) \text{ for all } x \in \text{At}\mathfrak{B}.$$

Let  $x, y \in \text{At}\mathfrak{B}$ . Then

$$\begin{aligned} y \in g(\kappa_{\{a\}}^{\mathfrak{B}}x) &\Leftrightarrow y \leq \kappa_{\{a\}}^{\mathfrak{B}}x \\ &\Leftrightarrow yT_{\{a\}}x \text{ (by Def. 5)} \end{aligned}$$

is finitely inseparable, by 15.16 of Monk [5], it suffices to find formulas  $\theta_{v_0}$ ,  $\bar{R}v_0v_1$ , and  $\bar{S}v_0v_1$  in the language of  $\mathbf{T}$  such that

(M<sub>0</sub>) for every finite model  $\mathfrak{A} = \langle X, R, S \rangle$  of  $\mathbf{Eq}$  there is a finite model  $\mathfrak{B}$  of  $\mathbf{T}$  such that  $\langle \theta^{\mathfrak{B}}, \bar{R}^{\mathfrak{B}}, \bar{S}^{\mathfrak{B}} \rangle \cong \mathfrak{A}$ .

This procedure will be used in the proof of 16(iii) below.

THEOREM 16. (i) The theory of  $\mathbf{KA}_\Omega$  is decidable if  $|\Omega| \leq 1$ .

(ii) If  $P \subseteq \Omega$  and  $P \neq \emptyset$ , the theory of  $\mathfrak{Ad}_P(\mathbf{KA}_\Omega) = \{ \mathfrak{Ad}_P \mathfrak{B} : \mathfrak{B} \in \mathbf{KA}_\Omega \}$  is decidable.

(iii) If  $|\Omega| \geq 2$ , the theory of  $\mathbf{KA}_\Omega$  is finitely inseparable.

PROOF. (i) There are two cases:  $\Omega = \emptyset$  and  $\Omega = \{p\}$ .  $\mathbf{KA}_\emptyset$  consist of complete atomic simple CA<sub>1</sub>'s  $\langle B, \kappa_\emptyset \rangle$ . Since  $\kappa_\emptyset$  is definable in the theory of BA's,  $\mathbf{KA}_\emptyset$  is equivalent to the theory of complete atomic BA's which is decidable. In the case  $\Omega = \{p\}$ , by 14(i),  $\mathbf{KA}_\Omega$  consist of algebras  $\langle B, \kappa_\emptyset, \kappa_\Omega \rangle$  where  $\kappa_\emptyset$  is Boolean definable and  $\langle B, \kappa_\Omega \rangle$  is a complete atomic CA<sub>1</sub>. In [1], Section 2, it is shown that the theory of complete atomic CA<sub>1</sub>'s is the same as the theory of finite CA<sub>1</sub>'s and that this theory is decidable.

(ii) By 14(i) the theory of  $\mathfrak{Ad}_P(\mathbf{KA}_\Omega)$  is the theory of complete atomic CA<sub>1</sub>'s which was shown to be decidable in [1].

(iii) Suppose  $|\Omega| \geq 2$  and choose  $r, s \in \Omega$  with  $r \neq s$ . The following formulas give a translation of  $\mathbf{Eq}$  into the language of  $\mathbf{KA}_\Omega$ .

$$\begin{aligned} \theta_{v_0}: & \quad v_0 \text{ is an atom} \\ \bar{R}v_0v_1: & \quad \theta_{v_0} \wedge \theta_{v_1} \wedge \kappa_{\{r\}}^{v_0} = \kappa_{\{r\}}^{v_1} \\ \bar{S}v_0v_1: & \quad \theta_{v_0} \wedge \theta_{v_1} \wedge \kappa_{\{s\}}^{v_0} = \kappa_{\{s\}}^{v_1} \end{aligned}$$

If  $\mathfrak{B}$  is a  $\mathbf{KA}_\Omega$ , 6(i) shows that  $\langle \text{At}\mathfrak{B}, \bar{R}^{\mathfrak{B}}, \bar{S}^{\mathfrak{B}} \rangle$  is a model of  $\mathbf{Eq}$ . To verify property (M<sub>0</sub>) suppose  $\mathfrak{A} = \langle X, R, S \rangle$  is a (finite) model of  $\mathbf{Eq}$ . Construct a Knowledge approximation atom structure  $\langle X, T_P \rangle_{P \subseteq \Omega}$  in the following way: let

$$\begin{aligned} T_{\{r\}} &= R, \quad T_{\{s\}} = S, \quad T_\emptyset = X^2, \quad T_{\{i\}} = X^2 \text{ for all } i \in \Omega \setminus \{r, s\} \\ \text{and } T_P &= \bigcap_{i \in P} T_{\{i\}} \text{ for } P \subseteq \Omega \text{ with } |P| \geq 2. \end{aligned}$$

Note that  $T_P \cap T_Q = T_{P \cup Q}$  for all  $P, Q \subseteq \Omega$ .

Let  $S$  denote the information system constructed in Definition 9 from the

atom structure above and let  $\mathfrak{B} = \mathfrak{B}_S$  denote the corresponding  $KA_\Omega$ . Note that  $\mathfrak{B}$  is finite when  $\mathfrak{A}$  is finite and that  $\langle \text{At}\mathfrak{B}, \bar{R}^{\mathfrak{B}}, \bar{S}^{\mathfrak{B}} \rangle \cong \mathfrak{A}$ . Thus, property  $(M_0)$  holds for the translation given. The finite inseparability of the theory follows.

It follows from 16(iii) and 15.9 of Monk [5] that

COROLLARY 17. For finite  $|\Omega| \geq 2$ ,

- (i) the theory of  $KA_\Omega$  is undecidable, and
- (ii) the theory of all finite  $KA_\Omega$ 's is undecidable.

Theorem 16(iii) and Corollary 17 can be strengthened by replacing the class  $KA_\Omega$  by the class of reduced  $KA_\Omega$ 's. The stronger result is obtained by interpreting the theory of two disjoint equivalence relations into the class of reduced  $KA_\Omega$ 's using the same translation.

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